Worksheet “FT4 declaration”

Corporate Governance Statement (FTs and NHS trusts)

The Board are required to respond “Confirmed” or “Not confirmed” to the following statements, setting out any risks and mitigating actions planned for each one

1 Corporate Governance Statement

1. The Board is satisfied that the Licensee applies these principles, systems and standards of good corporate governance which reasonably would be regarded as appropriate for a supplier of health care services to the NHS.

Response: Confirmed

Risks and Mitigating actions:

Standing Orders, it has all statutory governance requirements in place and is subject to internal and external audit on the robustness of its arrangements.

2. The Board has regard to such guidance on good corporate governance as may be issued by NHS Improvement from time to time.

Response: Confirmed

Risks and Mitigating actions:


3. The Board is satisfied that the Licensee has established and implements:

- (a) Effective board and committee structures;
- (b) Clear responsibilities for its Board, for committees reporting to the Board and for staff reporting to the Board and those committees; and
- (c) Clear reporting lines and accountabilities throughout its organisation.

Response: Confirmed

Risks and Mitigating actions:

There is a reporting process from Trust Board Committees to the Trust Board and board to board lines on quality and risk management. There is an established prior management liar, which reports up to Trust Board level.

4. The Board is satisfied that the Licensee has established and effectively implements systems and/or processes:

- (a) To ensure compliance with the Licensee’s duty to operate efficiently, economically and effectively;
- (b) For timely and effective scrutiny and oversight by the Board of the Licensee’s operations;
- (c) To ensure compliance with health care standards binding on the Licensee including but not restricted to standards specified by the Secretary of State, the Care Quality Commission, the NHS Commissioning Board and statutory regulations of health care professions;
- (d) For effective financial decision-making, management and control (including but not restricted to appropriate systems and/or processes to ensure the Licensee’s ability to continue as a going concern);
- (e) To obtain and disseminate accurate, comprehensive, timely and up to date information for Board and Committee decision-making;
- (f) To obtain and disseminate accurate, comprehensive, timely and up to date information on quality of care provided;
- (g) That the Board’s planning and decision-making processes take timely and appropriate account of quality of care provided; and
- (h) To ensure compliance with all applicable legal requirements.

Response: Confirmed

Risks and Mitigating actions:

The Trust has a well-established structure for support to board level to undertake financial decision making, management and control. The Trust Board receives timely information on the Trust’s business operations and levels of performance across waiting times, finance and quality. Effective financial decision-making includes an annual position statement scrutinised by the Trust’s auditors, Audit Committee and Trust Board, on its going concern status. The Trust Board has a well-established Committee structure for more detailed review and scrutiny of financial reporting and other aspects of Trust performance. The Trust Board reviews and endorses the Trust’s strategic and annual operational plan. The Board Reporting Framework is structured around the Trust Board’s legal requirements.

5. The Board is satisfied that the systems and/or processes referred to in paragraph 4 (above) should include but not be restricted to systems and/or processes to ensure:

- (a) That there is sufficient capability at Board level to provide effective organisational leadership on the quality of care provided;
- (b) That the Board’s planning and decision-making processes take timely and appropriate account of quality of care considerations;
- (c) The collection of accurate, comprehensive, timely and up to date information on quality of care;
- (d) That the Board receives and takes into account accurate, comprehensive, timely and up to date information on quality of care;
- (e) That the Licensee, including its Board, actively engages on quality of care with patients, staff and other relevant stakeholders and takes into account as appropriate views and information from these sources; and
- (f) That there is clear accountability for quality of care throughout the Licensee including but not restricted to systems and/or processes for escalating and resolving quality issues including escalating them to the Board where appropriate.

Response: Confirmed

Risks and Mitigating actions:

The Trust Board has a well-established structure for support to board level to undertake financial decision making, management and control. The Trust Board receives timely information on the Trust’s business operations and levels of performance across waiting times, finance and quality. Effective financial decision-making includes an annual position statement scrutinised by the Trust’s auditors, Audit Committee and Trust Board, on its going concern status. The Trust Board has a well-established Committee structure for more detailed review and scrutiny of financial reporting and other aspects of Trust performance. The Trust Board reviews and endorses the Trust’s strategic and annual operational plan. The Board Reporting Framework is structured around the Trust Board’s legal requirements.

6. The Board is satisfied that there are systems to ensure that the Licensee has in place personnel on the Board, reporting to the Board and within the rest of the organisation who are sufficient in number and appropriately qualified to ensure compliance with the conditions of its NHS provider licence.

Response: Confirmed

Risks and Mitigating actions:

There is a reporting process from Trust Board Committees to the Trust Board and board to board lines on quality and risk management. There is an established prior management liar, which reports up to Trust Board level.

Signed on behalf of the Board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

Signature

Name

Further explanatory information should be provided below where the Board has been unable to confirm declarations under FT4.

Signature

Name

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